

Substitute	for Form 1	449/PTO)		Com	plete if Known		
				N OSLIDE	Application Number		10/773,880	
STATEMENT BY APPOCANTS			Filing Date		February 6, 2004			
STA	TEME	NT B	YAP	PLOCANTO	First Named Inventor:		Phil Verinsky	
	(use as	many shee	ets as nece	#Sary)	Art Unit	2116		
Aus-0 8 2005 Examiner Name Thuan N. Du								
Sheet 1 of Attorney Docket Number 3551,P006C3								
NON PRIMENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No ¹	Indude magazi	e name of t ine, journal	the author (in CAPITAL I	ETTERS), title of the article (when a log, etc.), date, page(s), volume-iss country where published	appropriate), title of sue number(s), publis	the item (book, sher, city and/or	T²
公		Optica Player Washi	l Disk Co s and PC ngton, D.	ontroller Chips and C C Optical Storage Do C., Investigation No	Recommended Determination Chipsets and Products Contactions, United States Internated 337-TA-506, dated May 16	iining Same, Inc tional Trade Cor 5, 2005 (198 pgs	luding DVD mmission)	
Public Version of Petition for Review of Complainants Zoran Corporation and Oak Technology, Inc., In the Matter of Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices, United States International Trade Commission Washington, D.C., Investigation No. 337-TA-506, dated May 27, 2005 (50 pgs)								
Public Version of Respondent's Petition for Review of Final Initial and Recommended Determinations, In the Matter of <u>Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices, United States International Trade Commission Washington, D.C., Investigation No. 337-TA-506, dated May 27, 2005 (206 pgs)</u>								
N		Matter Same.	of <u>Certa</u> Including Commiss	in Optical Disk Con g DVD Players and	pposition to Complainant's Petroller Chips and Chipsets and PC Optical Storage Devices C., Investigation No. 337-TA	d Products Con , United States I	taining nternational	
Public Version of Office of Unfair Import Investigations' Response to Petitions for Review of Initial Determination, In the Matter of Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices, United States International Trade Commission Washington, D.C., Investigation No. 337-TA-506, dated June 15, 2005 (53 pgs)								
Public Version of Complainants Zoran Corporation and Oak Technology, Inc.'s Reply to Respondent's Petition for Review, In the Matter of Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices, United States International Trade Commission Washington, D.C., Investigation No. 337-TA-506, dated June 6, 2005 (163 pgs)								
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Examiner Signature		W	nam1	5		Date Considered	8/17/05	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

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		many sheets			Art Unit	2116		
				JUN 1 & 2005	Examiner Name	Thuan N. Du		
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Sheet	1	0	of	NOTE THANKS	Attorney Docket Number	3551.P006C3		
				NON PATENT L	TERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹				LETTERS), title of the article (when talog, etc.), date, page(s), volume-is: country where published			T²
M		(Consolid District o	dated wind the contract of the	ith C 97-21126 R nia, San Jose Di	icroelectronics Corporation, C MW) In the United States Dis vision, Order Denying UMC's Invalid Under 35 U.S.C. § 112	trict Court, For the Motion for Sumr	ne Northern nary	
B		Zoran Co On Inform District C Complain	orporation Tourt, Font for Pa	echnology Corp. or the Northern Ditent Infringemen	nology, Inc. v. Mediatek, Inc., <u>et al.</u> , Case No. C-04-04609 istrict of California, San Jose t dated 12/22/04 (Exhibits A-1	RMW In the Un Division, First Ar and B-1) (96 pg	ited States nended (s)	
5		On Inform Court, For Corporation	mation T r the Nor on and C	Technology Corp. them District of Ca bak Technology, Inc	nology, Inc. v. Mediatek, Inc., <u>et al.,</u> Case No. C-04-04609 R lifornia, San Jose Division, Defer c.'s First Amended Complaint, E-	MW In the United ndant's Answer to filed 1/10/05 (14 p	States District Zoran gs)	
75		Same, In Public Ve	ncluding ersion, l	DVD Players and J.S. Int'l. Trade C	isk Controller Chips and Chip d PC Optical Storage Devices commission, Washington D.C and Oak Technology, Inc. da	, Invest. No. 337 , "Post Hearing I	-TA-506, Brief of	
M		Same, In Public Vo	ncluding ersion, l	DVD Players and J.S. Int'l. Trade C	isk Controller Chips and Chip d PC Optical Storage Devices commission, Washington D.C and Oak Technology, Inc. da	, Invest. No. 337 , "Post Hearing I	'-TA-506, Reply Brief of	
M		Public Ve OPTICA SAME, II	ersion, l L DISK NCLUD	J.S. Int'l. Trade C CONTROLLER C ING DVD PLAYE	commission, Washington D.C CHIPS AND CHIPSETS AND CRS AND PC OPTICAL STOP Paring Reply Brief", May 9, 20	, In the Matter of PRODUCTS CO RAGE DEVICES,	CERTAIN	
X		OPTICAL SAME, II Version o	L DISK NCLUD of Respo	CONTROLLER (ING DVD PLAYE ondents' Post-He	commission, Washington D.C CHIPS AND CHIPSETS AND CRS AND PC OPTICAL STOP Paring Brief", May 9, 2005, 22	PRODUCTS CO RAGE DEVICES, 6 pages.	NTAINING . "Public	
B		OPTICAL SAME, II Hearing	L DISK NCLUDI Brief of	CONTROLLER OF THE CONTROLLER OF THE COMMISSION OF THE COMMISSION OF THE COMMISSION OF THE CONTROLLER O	commission, Washington D.C. CHIPS AND CHIPSETS AND CRS AND PC OPTICAL STOP Investigative Staff', March 7,	PRODUCTS CO RAGE DEVICES, 2005, 84 pages.	NTAINING "Post-	
Examiner		OPTICAL SAME, II	L DISK (NCLUDI	CONTROLLER C ING DVD PLAYE	commission, Washington D.C. CHIPS AND CHIPSETS AND RS AND PC OPTICAL STOP ission Investigative Staff", Ma	PRODUCTS CC RAGE DEVICES, rch 21, 2005, 64	NTAINING . "Post- pages.	
Signature		1/	0000	-/2-	_	Date	8/4/05	-

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Substitute	for Form 1	449/PTO		Complete if Known				
INFC	RMA ⁻	TION DISC	LOSURE	Application Number	10/773,880			
				Filing Date	February 6, 2004			
SIA		NT BY AP		First Named Inventor:	Phil Verinsky			
	(use as i	many sheets as nace	ssary)	Art Unit	2116			
				Examiner Name	Thuan N. Du			
Sheet	2	of	14	Attomey Docket Number	3551.P006C3			
	_		NON PATENT LIT	ERATURE DOCUMENTS				
Examiner Initials*	Cite No ¹	item (book,	magazine, journal, se number(s), publ	AL LETTERS), title of the article (rial, symposium, catalog, etc.), daisher, city and/or country where pu	te, page(s), volume-issue ublished	T ²		
70		"The Soaring C Reseller News,	he Soaring CD_ROM Drive Market – Worldwide Shipments," excerpt from Computer eseller News, 6/24/1991, page 101.					
40			'Growing supply of games propels optical-drive shipments," excerpt from Electronic Business, July 1993, page 22.					
S		"8/30 Prototype	"8/30 Prototype Status Update," Product.XLS, RX-25C, (1 page)					
₩		"PS011.XLS," 9/2/93, (1 page)						
S		"Prototype Trac 213.1, Technol	king Sheet – Week ogy: TSMC 0.8um	ending 8/27/93," Product #OTI Compass 470 Library, (1 page	-011A, Die Size 169.3 x)			
Ser.		Working Draft, X3T9.2 948D, 1	"Information Techno Revision 1, 9/20/199	ology – AT Attachment Interfac 33, RX-336, 62 pages	e with Extensions (ATA-2),			
R		BERLEKAMP, On Information 874.	BERLEKAMP, E, "Bit-Serial Reed-Solomon Encoders," November 1982, IEEE Transactions On Information Theory, Vol. 1T-28, No. 6, 0018-9448/82/1100-0869\$00.875, pages 869-874.					
5		March 6, 1988,	BOULAY, PAUL, "SCSI-2 CD-ROM Command Set Proposal," X3T9.2/87-210 Revision 2," March 6, 1988, 1-63 pages.					
全		"Identification of X3T9.2, ftp://ftp	f Proposed Project .t10.org/x3t9.2/doc	- SCSI Common Access Methoument.90/90-057rl.txt, 1-3 page	od," April 27, 1990 by ASC es.			
75		"Identification of Technical Com	f Proposed Project a mittee, , ftp://ftp.t10	ATA Extensions," dated Augus org/x3t9.2/document.91/91-10	it 23, 1991 by X3T9 <u>i4rl.txt,</u> 1-4 pages.			

Examiner	Date	
1_{0}	Date	\alpha \land
Signature Common	Considered	18/4/03

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Substitute for F	Form 1449	9/PTO		Comple	ete if Known			
INFOR	MATI	ON DISC	CLOSURE	Application Number	10/773,880			
STATEMENT BY APPLICANT		Filing Date	February 6, 2004					
				First Named Inventor:	Phil Verinsky			
(use as many sheets as necessary)				Art Unit	2116			
				Examiner Name	Thuan N. Du			
Sheet 3	_	of	14	Attorney Docket Number	3551.P006C3			
			NON PATENT LIT	ERATURE DOCUMENTS				
	Cite No¹	Include name (item (book	magazine, journal, se	AL LETTERS), title of the article (rial, symposium, catalog, etc.), daisher, city and/or country where pu	te, page(s), volume-issue	T²		
7	X	(3T9.2/92-157	r5,* dated Septembe	LBA support for ATA I/F - ATA er 21, 1992, 1-9 pages.				
\$	1	SHAH, S. "Support for Greater Than 528MB ATA Drive," X3T9.2/92-74, dated March 18, 1992, Western Digital Corporation, 1-8 pages.						
Ź	ta	BUDDINE, L., et al., "The Brady Guide to CD-ROM" Prentice Hall Press (1987), cover page, table of contents, pages 71-76 and 373-						
M	וו	D-ROM Prop CC-126502 - I	osal Revision 1.0," o MTK-ITC-126542 (to	plementation Guide Appendix l lated October 7, 1993, Origina tal 40 pages)	l version: 6/23/1993, MTK-			
M	T 8	echnical docu /24/2004, 1-1	ıment from website <u>(</u> 13 pages.	all Computer Systems Interfactor://ftp.t10.org/x3t9.2/documer	nt.89/89-130rl.txt,			
70	_ C	D-ROMs – S	FF-8020," Revision	r Committee Specification of A 1.2, June 10, 1994, cover page	e, pages i-x, pages 1-186.			
Vi	A fo	"Wearnes Ships \$449 MPC-Level 2, CD-ROM Drive with \$199 Wave Table Sound Card," August 2, 1993; PR Newswire Association, Inc., 377 th Story of Level 1 printed in FULL format, Lexis-Nexis, page 21-22.						
M		'Information on a silver platter: CD-ROM drives," February 1993; Computer Shopper Guide; Vol. 13; No. 2; p. 374; ISSN: 0886-0556, pages 14-18.						
77	S	tory," July 19 Jublishing Cor	93, 381 st Story of Le npany, Copyright 19		C Magazine, Ziff-Davis			
PS	3	I, SING,"Writi 59 th Story of I 994, pages 2	evel 1 printed in FU	OM Device Drivers; Small Com LL Format, March 1994, M&T	puter System Interface," Publishing Inc., Copyright			

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Sheet 4 of 14 Attorney Docket Number 3551.P006C3								
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Examiner Initials*	Cite No ¹	Include name of item (book,	magazine, journal, se	AL LETTERS), title of the article (rial, symposium, catalog, etc.), da isher, city and/or country where p	te, page(s), volume-issue	T ²		
B			m Hanan to X3T9.2 rev 0, Jan. 28, 1993	Committee (SCSI), "SFF Loca 3, pages 1-3.	ll Bus Meeting Minutes,"			
S		Memorandum f Agreement and 7/27/1993	Memorandum from M.Furuta to D. Tsang and K. Fujimoto re OTI-011 Volume Purchase Agreement and Mitsumi IDE with attached Certified Japanese Translation, pp. 1-10, 7/27/1993					
S		10/25/1993	OTI-011 IDE CD-ROM Controller Engineering Specification, Rev. 2.0, pp. 1-50, dated 10/25/1993					
S		Handwritten document titled, "OTI012 OTI011/New"; 1 pg., dated 2/24/1993						
		Philip Verinsky/ 5/28/93, 5/29/9	Oak Technology Co 3, 6/14/93, 7/7-8/93	omp Book/Notebook, 12 pgs., o , and 7/29/93	dated 5/26/93, 5/27/93,			
VS		Memorandum f to N. Sugie re F	rom W. Wang to N. Preliminary report or	Sugie, P. Verinsky, L. Ebisu, C IDE, 2 pgs., dated 9/5/1992	J. Ito, A. Tanaka and Kato			
N/		Mitsumi Sales (Call Report, 1 pg., A	pril 14, 1993				
N		Memorandum from W. Wang to P. Verinsky, L. Ebisu, J. Ito and N. Sugie re Weekly Status Report, 1 pg., dated 10/2/92						
A		Memorandum fi pgs., dated 7/5/	rom T. Makino to D. 1993	Tsang, P. Brown and P. Verir	nsky re Visit to Company, 3			
13		E-mail thread d PDC announce	ated October 6, 199 ment, 32 pgs.	3 from P. Brown to tomel@mi	crosoft.com re Microsoft	-		
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Date

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Examiner

Signature

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INFORMATION DISCLOSURE		Filing Date	February 6, 2004						
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				Examiner Name	Thuan N. Du				
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5			-mail thread dated August 13, 1993 from P. Verinsky to H. Shinohara et al. re More nswers to Register Questions, 117 pgs.						
The state of the s		String of emails	from N. Sugie to P	. Verinsky, 80 pgs., dated 8/26	6/93				
7%		Memorandum from P. Brown to D. Bryson re Barrier Plan, 2 pgs., dated 4/20/94							
18		Document titled Local Bus Attac	, "Small Form Fact hment Rev 1.1," da	or Committee Specification for ated September 18, 1993, 17 p	ATA Timing Extentions for ogs.				
M	,			CD-ROM Specification AT Att dated September 10, 1993, 17					
13		Technical docur CD-ROM Devic	ment titled, "ATAPI es Revision A1.7,"	CD-ROM Specification AT Att. dated October 18, 1993 by We	achment Packet Interface, estern Digital, 176 pgs.				
Examiner	1	71			Date 0/1				

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Signature <

									
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公司公		Device	es Revisio	on A1.7B," technica	eliminary Draft AT Attachment I document dated November 2				
M		°OTI-0	11A," Va	rious schematics.					
M		X3T9.2	Working draft Information technology – AT Attachment Interface with Extensions (ATA-2) (3T9.2 948 D Revision 0," technical document dated September 1, 1993						
TS		Home (05/27/ certifie	"Minute for Device Driver Development;" with Memorandum re Oak Technology and NEC Home Electronics "exclusive arrangement on May 26, 1993" signed by D.D. Tsang (05/27/93) and M. Kaneko (05/28/93); also attached is document in Japanese with attached certified Japanese translation						
77		"НМІЅ	C – UMIS	SC Register;" Sche	matic, (1 pg.).				
75		"HMS	CR – UM	ISC Read Register	;" Schematic, (1 pg.).				
为分		"НІСТІ	Register	r," Schematic, (1 po	g.).				
17		Handw	ritten not	es "Old OTI011 an	d New OTI011" (with ATAPI Si	upport)			
A.		ATAPI	CD-RON	Standard, Revision	on A1:6, changes between A1.5	5 and A1.6, 9/10/93.			
A		*CD-R	OM to IEA	A BUSI/F, 16-BIT C	DMA," Schematic, (1 pg.).				
N		"CD-R	OM TO IS	SA BUS I/F, 8-BIT I	FIA/DMA," Schematic, (1pg.).				
N		"CD-R	OM DRIV	E, ENHANCES MO	ODE (PRELIMINARY)," Schem	natic, (1 pg.).			
M		"IDE B	us Transa	action Types."					
77		Hand o	drawn sch	nematic (1 pg.).					
7		Handw	ritten not	tes "3/20/93 at hom	e," (1 pg.).				
Del.		at NEC	C Home E	electronics, (2 pgs.)		. Utsumi and Mr. Shinohara			
13		Letter	to Nagai f	rom Verinsky re O	TI-011, July 30, 1993, (1 pg.).				

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Examiner Initials*	Cite No ¹	ite	em (book, n	magazine, journal, se number(s), publ	TAL LETTERS), title of the article (erial, symposium, catalog, etc.), da lisher, city and/or country where pu	ate, page(s), volume-issue ublished	T ²		
>>> >>>		COLE, May 3,	, BERNAF , 1993, p.4	RD C., "IDE ATA D 45 (pages 1-4), ISS	isk Interface Hits the Wall," Ele SN: 0192-1541	ectronic Engineering Times,			
S		Interfac	ce Accred	dited Standards Co	Standard - "ATA (AT Attachme ommittee X3- Information Proce	essing Systems			
75			Working Draft – "AT Attachment Interface for Disk Drives," X3T10791D, Revision 4c, Copyright 1994, pages 1-45.						
4		Supple	Exhibit 7 (from Exhibit 13) to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement: E:\OT1011A\INET011A\VTI.LOG						
7	_	Report	t on Infring	gement: OTI-011Al	ort of Allen Samuels on Invalidit B Microcontroller Registers				
4		Report Electro	t on Infring onic Busin	gement: "CD-Rom ness Buyer, page 1		lomentum Going,"			
75		Infringe	ement and	d Domestic Industr	d Complaint: Declaration of Allery of U.S. Patent No. 6,584,527 of CD-ROMS Rev. 2.6 1/22/9	7 and 6,546,440: ATAPI			
7		Press I OTI-91	Release: 10 Extend	"Oak Technology I Is Oak's Leadership	Introduces Fourth Generation II p Position in IDE CD-ROM Tec	DE/CD-ROM Controller – chnology," 8/7/1995			
炒			OM Drives		Debuts Next-Generation Multimanced Design Features for Mult				
\nearrow		Oak Te	echnology	/ Product Guide 19	994, Copyright 1994, pages 1-3	39.			
M		OTI-01	11 Task Li	ist, 1/21/93, pages	1-5.				
75		Status	on Septe	ember 5, 1993, 1 pa		e Electronics re: OTI-011			
75				cklist dated 6/7/93,					
775		Status,	, Septemb	ber 1, 1993, 1 page					
N		Memor Currer	randum fr	om Phil Verinsky to September 9, 1993	o H. Shinohara at NEC Home & 3, 1 page.	Electronics, re: OTI-011			

Examiner Signature Mmon	, Dr	Date . Considered	8/4/05

Applicant's unique citation designation number (optional). ³Applicant is to place a check mark here if English Translation is attached.

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INFORMATION DISCLOSURE				LOSURE	Application Number	10/773,880		
STATEMENT BY APPLICANT					Filing Date	February 6, 2004		
J SIA			ets as neces		First Named Inventor:	Phil Verinsky		
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					Examiner Name	Thuan N. Du		
Sheet	8		of	14	Attorney Docket Number	3551.P006C3		
<u></u>				NON PATENT LI	TERATURE DOCUMENTS			
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T		OTI-011 Register Changes authored by Don Smith, 1 page.						
B		OTI-011 IDE Interface Control Registers (from the microcontroller's perspective), 2 pages.						
h		Interna First E	International Standard, "Compact Disc Digital Audio System" ("Red Book"), CEI IEC 908, First Edition, 1987, 66 pages.					
75		Draft," pages.	Exhibit 1 to Response to Complaint: "ATAPI CD-ROM Standard: Proposal for Working Draft," RX-84C from CD ROM CONTROLLERS II, June 10, 1993, Western Digital, 130 pages.					
76		*OTI-011 Microcontroller Registers," RX-16C from CD ROM CONTROLLERS II, 4/21/1993, 5 pages.						
沙		I/O Inte	"Draft Proposed American National Standard" – ATA (AT Attachment), Prepared by X3T9-I/O Interface Accredited Standards Committee – X3, X3.221-199x RX-31C from CD ROM CONTROLLERS II, 2/10/1992, 56 pages.					
D		1993 a	at 11:35:4	6 AM, 2 pages.	CD ROM CONTROLLERS II,			
T		"Scher	matics re	Host RX-134C" fr	om CD ROM CONTROLLERS	II, 4/14/1997, 23 pages.		
75		Schem	natics re l	lost by phil on No	vember 13, 1998 at 6:37 PM,	15 pages.		
B		"OTI-0	11AB Mid	crocontroller Regis	sters," 5/23/1993, 7 pages.			
77		Correct CD RC	tion and DM CONT	Host Interface Pro FROLLERS II, June	entitled "Sanyo LC8950 & LC89 cessors for CD-I and CD-ROM e 1989,125 pages.	1 Applications," RX-4C from		
M		10, 199	93, 10 pa	ges.	ndard - Proposal for a Working			
75		direct a	access fe ROLLER	ature for PDIAG, [S_II, 1 page	n notes describing the OTI-011 DASPEN and clear BSY signal	s, RX-20C from CD ROM		
77		Handw	ritten dra 993-1 pa	wing: "Execute D	rive Diagnostic," RX-23 from C	D ROM CONTROLLERS II,		

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Ob and				Examiner Name	Thuan N. Du	-		
Sheet	9	of	14	Attorney Docket Number	3551.P006C3			
			NON PATENT LI	TERATURE DOCUMENTS				
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					ate, page(s), volume-issue	T ²		
75	Schematic: Host 8 Byte FIFO (Read Data), 11/13/1998 at 6:38 PM, 1 page							
78		Status Report from Phil Verinsky RX-48C from CD ROM CONTROLLERS II, 2/26/1993, 1 page.						
18		Memo from Kenji Fujimoto to David Tsang, "OTI-012 and the license of OTI-011, 4/23/1993, 2 pages.						
YS		Phil Verinsky's inventor notebook, RX-27C from CD ROM CONTROLLERS II, 41 pages						
D		Devices," 08/17/	"ATAPI CD-ROM Specification A1.4 - Obsolete - AT Attachment Packet Interface, CD-ROM Devices," 08/17/93, 144 pages.					
7		344C from CD F	ROM CONTROLLE	T Attachment Packet Interface ERS, 9/19/1993, 179 pages.				
7		CONTROLLERS	S II, 2/4/1994, 100	1 Preliminary Specification RX pages.				
<i>>></i> >	Western Digital Generated Proposal for a Working Draft: "ATAPI CD-ROM Specification," Revision A1.7 RX-343C from CD ROM CONTROLLERS II, 10/18/1993, 178 pages.							
77		WILSON, RON,	"CD-ROM Chips I	Raise Speed Ante," EET, 8/14	/95, 1 page.			
N/S		8020," Rev. 1.2,	2/24/1994, 197 pa	ecification of "ATA Packet Inter ages.				
73		FRICKS, JAMES pages.	S R., "Compact Di	sc Terminology" 1992, Disc Ma	-			
<i>b</i>		& General Propo	osal, August 17, 19	on A1:4, changes between A1. 993 by Western Digital, 144 pa	iges.			
タシ		"ATAPI CD-RON 1993 by Wester	M Standard Revision Digital (with mar	on A1:5, changes between A1 ginalia), 89 pages,	.4 &A1.5," dated August 28,			
M		Western Digital Attachment Pac Western Digital,	Generated Propos ket Interface, CD- 158 pages	sal for a Working Draft: "ATAP ROM Devices," Revision A1.5,	dated August 28, 1993 by			
77		"Changes between Revision A1.4,"	en A.13 and A.14 dated August 17,	," "Preliminary Draft ATAPI CD 1993 by Western Digital, 75 pa	-ROM Specification			

Examiner Signature Man	Date Considered	8/4/05

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				Filing Date	February 6, 2004		
STATEMENT BY APPLICANT				First Named Inventor:	Phil Verinsky		
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	T		· _Y	Examiner Name	Thuan N. Du		
Sheet	10	of	14	Attorney Docket Number	3551.P006C3		
			NON PATENT LIT	ERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	item (book	magazine, journal, se number(s), publ	AL LETTERS), title of the article (rial, symposium, catalog, etc.), da isher, city and/or country where p	ite, page(s), volume-issue ublished	T ²	
7>			OTI –011A schematics (Zoran/Oak Tech) by Phil on November 13, 1998, 348 pages.				
ns.			IDE interface control registers –RX-22C from CD ROM CONTROLLERS II, 1 page.				
7		Email from Weigung Wang to Noboru Sugie re: Weekly Status Report 9/18/92, RX-100C from CD ROM CONTROLLERS II, 1 page.					
N			OTI-011AB Microcontroller Registers, May 23, 1993 register map, 7 pages.				
M		Schematic HPIMF – Host Pin Functionality Changes, 1 page.					
\frac{1}{2}		Exhibit 9 to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement, 7 pages.					
>r\>	Exhibit 10 to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement: Module tree for Host Interface Modules of OTI-011A, 2 pages.						
W	Exhibit 11 to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement: Schematic diagram for OTI-011A dated July 18, 1993, 1 page.						
16		Exhibit 12 to Rebuttal Expert Report of Allen Samuels on Invalidity and Supplemental Expert Report on Infringement: Changes_SC.TXT Schematic\Netlist Changes, 2 pages.					
4		Exhibit 14 to R	ebuttal Expert Repo	rt of Allen Samuels on Invalidi B Microcontroller Registers , 8	ty and Supplemental Expert		
Y		Draft Agreeme		NEC Home Electronics Co. L			
7		OTI-011AB Mi	crocontroller Registe	ers - Preliminary 7/20/1993, 9	pages.		
交				ers – Preliminary 7/18/1993, 15			
78		and Overview	of OTI-011, Mar. 94,				
75		PC Market Tre	nds, 5/2/94, 27 page	hnology, Inc. Multimedia Solutes.			
外全会		PowerPoint Pr 4/12/94, 35 pa	esentation: Oak Tec ges.	hnology, Inc. Multimedia Solu			
		OTI-011 IDE C	D-ROM Controller F	Preliminary Engineering Specif	ication, 2/4/94, 98 pages.		

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				Filing Date	February 6, 2004			
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	v			Examiner Name	Thuan N. Du			
Sheet	11	of	14	Attorney Docket Number	3551.P006C3			
			NON PATENT LI	TERATURE DOCUMENTS				
Examiner Initials*	Cite No ¹	Include name of item (book,	magazine, journal, s	TAL LETTERS), title of the article erial, symposium, catalog, etc.), dolisher, city and/or country where p	ate, page(s), volume-issue	T2		
M		3 pages.	Memorandum from NEC to Oak Technology on 7/5/1993 regarding NEC visit to Oak, 7/5/93, 3 pages.					
交		7/13/1993, 1 pa	Memorandum from Kenji Fujimoto to Peter Brown re: Mitsumi and OTI-011 and OTI-012 on 7/13/1993, 1 page.					
K		8/18/1993, RX-	Memorandum from Kenji Fujimoto to Peter Brown re: Mitsumi and OTI-011 and OTI-012 on 8/18/1993, RX-453C from CD ROM CONTROLLERS II, 4 pages.					
M		Fax communication shipping OTI-01	Fax communication from Peter Brown to Junji Tanaka, Tomoka Etoh on 9/30/93 regarding shipping OTI-0111, 1 page.					
\$		OTI-011 Price f	Fax communication from Tomoka Etoh to Peter Brown on 10/4/1993 regarding shipping OTI-011 Price for Mitsumi, 4 pages.					
N		Electronics Bus	Memorandum from Kenji Fujimoto to David Tsang on 10/20/1993 regarding NEC Home Electronics Business, RX-105C from CD ROM CONTROLLERS II, 4 pages.					
75		controller, 1 pag	rom Kenji Fujimoto ne	to Peter Brown on 11/24/1992	2 re: IDE-CD ROM			
M				o Scott Alberta on 11/22/1993	regarding Product Release			
NA NA		Memorandum fi	Command, 2 pages		•			
73		September 7, 1	993, 1 page.	to NEC Home Electronics re: 0				
78	_	September 8, 1	993, 1 page,	to NEC Home Electronics re: C				
M	_	September 12,	1993, 1 page.	to NEC Home Electronics re: C	•	<u> </u>		
<u> </u>	_	September 13,	1993, 1 page.	to NEC Home Electronics re: C	JII-UII Status Update,			
<i>P</i> →		Memorandum fr		Phil Verinsky re: IDE Prototype	Summary, 2/19/93,			
M		5 pages. Memo from A. 1 (Case)], RX-470	anaka to Phil Veri	nsky re: IDE Prototype Summa ONTROLLERS II, 2/1993, 4 pa	ary [DEPO EXHIBIT 518 ages.			
M		IDE Interface Di	agrams from Mitsu	umi, RX-30C from CD ROM Co	ONTROLLERS II, 15 pages.			

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STATEMENT BY APPLICANT				Filing Date	February 6, 2004			
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				Examiner Name	Thuan N. Du			
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		· -	NON PATENT LIT	ERATURE DOCUMENTS				
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X		Report RX-350	lemo of 10/9/92 from Weigung Wang to Neboru Sugie (cc: Phil Verinsky) re: Weekly Status eport RX-35C from CD ROM CONTROLLERS II, 1 page.					
7		Memo of 10/16 Status Report	Memo of 10/16/92 from Weigung Wang to Neboru Sugie (cc: Phil Verinsky) re: Weekly Status Report RX-36C from CD ROM CONTROLLERS II, 1 page.					
1 5		10/23/92 from	0/23/92 from Weigung Wang to Neboru Sugie (cc: Phil Verinsky) RX-451C from CD ROM ONTROLLERS II, 1 page.					
*		HOST IDE RE	OST IDE REG CONTROL schematics printed on 4/30/93; CPX-51C oversize of 003DOC00006, 2 pages.					
A		,	TAPI CD-ROM Standard and Verinsky Handwritten Notes dated 6/13/93, 10 pages.					
A		OTI-011 IDE 0 (1003DOC006 pages.	OTI-011 IDE CD-ROM Controller - Engineering Specification, Revision 1.1 (1003DOC00625-1003DOC00644) (Depo Exhibit 508 of Case Deposition on 9/16/04), 20 pages.					
70		Schematics re	IDE and DRV RX-3	0C from CD ROM CONTROLL	LERS II, 15 pages.			
Ŕ		Oak Preliminary Specification, OTI-012 CD-ROM Controller Chip RX-10C from CD ROM CONTROLLERS II, 79 pages.						
\$		Architectural Outline for the OTI-011 CPX-167C from CD ROM CONTROLLERS II, 1 page.						
S		Small Form Fa 8020, Revision	ctor Committee Spe 1.2 (aka ATAPI Sp	cification of ATA Packet Interfacecification), 196 pages.	ace for CD-ROMs SFF-			
13		Handwritten di	awing: Software Re	set RX-24C from CD ROM CC	ONTROLLERS II, 1 page.			
PS	1	Schematics: F	lost, 7 pages.					
A		Oak Tech O	[I-012 Overview RX	-3C from CD ROM CONTRO	LLERS II, 10 pages.			
M		CD ROM CON	TROLLERS II, 20 pa					
500		Product Featur	es), 6 pages.	moto re IDE CD-ROM Present	•			
1		Memo from Ke ROM CONTRO	nji Fujimoto to Peter DLLERS II, 1 page.	Brown re IDE-CD ROM Contr		7		
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M	Memo from Weigung Wang to Noboru Sugie re Weekly Status Report 06/26/92 RX-28C from CD ROM CONTROLLERS II, 1 page.					
M		Daughter boar	rd schematics RX-3	74C from CD ROM CONTROL	LERS II, 10 pages.	
分	Memo from Weigung Wang to Noboru Sugie RX-32C from CD ROM CONTROLLERS II, 1 page.					
N	Memo from Weigung Wang to Noboru Sugie RX-37C from CD ROM CONTROLLERS II, 1 page.					
75		Memorandum from W. Wang to N. Sugie, P. Verinsky, L. Ebisu, J. Ito, A.Tanaka and Kato to N. Sugie 9/5/92, 2 pages.				
X		Handwritten document titled, "IDE Interface Issues" RX-19C from CD ROM CONTROLLERS II, 1 page.				
M						
25	Document titled, "OTI-11 IDE CD-ROM Controller Preliminary Engineering Specification," dated February 4, 1994 by Oak Technology, 100 pages.					
75		Memorandum from K. Suzuki at NEC to P. Verinsky, P. Brown, D. Tsang, K. Fujimoto, Eto, R. Hayatsu, T. Makino, Y. Utsumi, H. Hasunuma, K. Yasui, S. Tsuruhara and H. Shinohara re: OTI-011 Meeting RX-137C from CD ROM CONTROLLERS II, 1 page.				
AV.			tsumi Rev. A by A. T			
M		and Software	Reset, 4 pages.	ecute Drive Diagnostic," "Write		
<u> </u>				w and Discussions with Phil V		
17	 	Notebook by	Smith with "Oak Tech	HDC" on cover dated 6/22/9	2, 34 pages.	
27			ed "IDE/Host Checkli		· · · · · · · · · · · · · · · · · · ·	
77			Product Plan, 5 page			
70	 	Handwritten N	lotes re: OTI-011 Re	set, 1 page. ΓΙ-011/NEW, 1 page.		
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75		and Software	chematics titled, "Exi Reset, 3 pages.	ecute Drive Diagnostic," "Write	e to Command Register,"	

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for Form	1449/PTC)		Complete if Known							
RMA	TION	DISC	LOSURE	Application Number	10/773,880						
				Filing Date	February 6, 2004						
				First Named Inventor:	Phil Verinsky						
(use as	many she	ets as neces	ssary)	Art Unit	2116						
		•		Examiner Name	Thuan N. Du						
14		of	14	Attorney Docket Number	3551.P006C3						
NON PATENT LITERATURE DOCUMENTS											
Cite No ¹	Includ ite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published									
		Handwritten schematic, 1 page.									
<u> </u>		Handwritten notes titled "DRAM Mode", 2 pages.									
	status,	Memorandum from Phil Verinsky to NEC Home Electronics re: OTI-011 Development status, 1 page.									
	Correc	Sanyo Application Note No. E89 entitled Sanyo LC8950 & LC8951 Real-Time Error Correction and Host Interface Processors for CD-I and CD-ROM Applications RX-4C from									
	OTI-011AA Microcontroller Registers Formally CX-124C (broken out), 5 pages.										
	Techn CD-R	Technical document titled, "ATAPI CD-ROM Specification AT Attachment Packet Interface, CD-ROM Devices Revision A1.5." dated August 28, 1993 by Western Digital, 161 pages									
	Techn	Technical document titled, "ATAPI CD-ROM Specification AT Attachment Packet Interface.									
	Interna	International Standard, ISO/IEC 10149, International Technology-Data interchange on read-									
	Oak B	Oak Bids for MultiMedia Role, in Electronic News, April 4, 1994, p. 24, 1 page.									
1	Oak R	Oak Rolls High Speed CD-ROM Controller, in Electronic News, August 14, 1995, 1 page.									
		Notes, Mitsumi Meeting 7/9/92 at Oak U.S.A. RX-29C from CD ROM CONTROLLERS II. 5 pages									
	Docun	Document titled "IDE/Host Checklist 4/13/93", 1 page.									
	Docun	Document titled "New Select Inhibit List" dated 4/25/93, 3 pages.									
	Michae	Michael Case Notebook, 12 pages.									
	DRMA TEME (use as	Cite No¹ Include ite No In	TEMENT BY API (use as many sheets as neces) Lite	PRMATION DISCLOSURE TEMENT BY APPLICANT (use as many sheets as necessary) 14 14 16 16 NON PATENT LIT Cite No¹ Include name of the author (in CAPIT item (book, magazine, journal, se number(s), puble numbe	Application Number Filing Date First Named Inventor: Art Unit Examiner Name NON PATENT LITERATURE DOCUMENTS Cite No¹ Include name of the author (in CAPITAL LETTERS), title of the article (item (book, magazine, journal, serial, symposium, catalog, etc.), danumber(s), publisher, city and/or country where publisher, city and/or country where publisher, in the article of the arti	Application Number 10/773,880 TEMENT BY APPLICANT (use as many sheets as necessary) It is necessary (see as many sheets as necessary) It is necessary (see as many sheets as necessary) At Unit 2116 Examiner Name Thuan N. Du Attorney Docket Number 3551,P006C3 NON PATENT LITERATURE DOCUMENTS Cite No' Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published Handwritten schematic, 1 page. Handwritten notes titled "DRAM Mode", 2 pages. Memorandum from Phil Verinsky to NEC Home Electronics re: OTI-011 Development status, 1 page. Sanyo Application Note No. E89 entitled Sanyo LC8950 & LC8951 Real-Time Error Correction and Host Interface Processors for CD-I and CD-ROM Applications RX-4C from CD ROM CONTROLLERS II Also ZC 001273-ZC 001396 (prosecution history), 125 pages. OTI-011AA Microcontroller Registers Formally CX-124C (broken out), 5 pages. Technical document titled, "ATAPI CD-ROM Specification AT Attachment Packet Interface, CD-ROM Devices Revision A1.4," dated August 28, 1993 by Western Digital, 161 pages. Technical document titled, "ATAPI CD-ROM Specification AT Attachment Packet Interface, CD-ROM Devices Revision A1.4," dated August 17, 1993 by Western Digital, 161 pages. International Standard, ISO/IEC 10149, International Technology-Data interchange on readonly 120 mm optical data disks (CD-ROM) Yellow Book, 45 pages. Oak Bids for MultiMedia Role, in Electronic News, April 4, 1994, p. 24, 1 page. Oak Rolls High Speed CD-ROM Controller, in Electronic News, August 14, 1995, 1 page. Notes, Mitsumi Meeting 7/9/92 at Oak U.S.A. RX-29C from CD ROM CONTROLLERS II, 5 pages. Document titled "New Select Inhibit List" dated 4/25/93, 3 pages.					

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